

STATE OF NEW HAMPSHIRE, DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL
CONSUMER PROTECTION AND ANTITRUST BUREAU

PRINCIPAL'S BACKGROUND STATEMENT

This form is to be completed by all principals
(as defined by JUS. 1301.06 and 1401.07).

I. General Background

A. Name: _____
First Middle Last

Alias, if any: _____
First Middle Last

B. Current Residence:

Residence(s) past five years:

C. Current business address:

Business address(es) past five years:

D. Date of Birth:

E. Place of Birth: _____
City/Town State Country

F. Social Security Number:

8087

Form CPLSI70
(January 2002)

II. Nature of Ownership Interest

A. Are you an officer, director, partner, trustee, etc. in the business organization developing this subdivision/condominium? (Please specify title or role.)

B. Percentage of ownership, if any: _____

C. Do you have a written agreement covering acquisition of additional interests? Yes _____
No _____ If yes, provide copy of agreement or give full particulars on separate sheet.

D. What are your arrangements regarding compensation, salary, commissions, profit sharing, etc.?

E. What amount of time do you devote to this organization's business or affairs?

F. Have you been a principal (with this business entity or any other business entity) in other subdivision or condominium developments in this state, whether or not subject to registration? Please list and provide the attorney general file number.

III. Employment Record

A. Present occupation or profession:

B. List previous employment for past five years, including the name and address of each employer:

IV. Education Record

A. List names and addresses of schools and college(s) attended, including years attended, degrees earned:

B. State any specialized education in real estate, land development or condominiums:

V. Credit References

Names and addresses of five personal or business credit references:

1. Name:

Address:

2. Name:

Address:

3. Name:

Address:

4. Name:

Address:

5. Name:

Address:

VI. Character References

Names and addresses of five character references. (Do not list relatives or associates in this enterprise.)

1. Name:

Length of time known _____

- Address: Frequency of association _____
2. Name: Length of time known _____
- Address: Frequency of association _____
3. Name: Length of time known _____
- Address: Frequency of association _____
4. Name: Length of time known _____
- Address: Frequency of association _____
5. Name: Length of time known _____
- Address: Frequency of association _____

VII. Financial References

Banking facilities used for personal and business accounts during the past five years:

1. Name: Your address at the time:
- Address:
2. Name: Your address at the time:
- Address:
3. Name: Your address at the time:
- Address:
4. Name: Your address at the time:
- Address:
5. Name: Your address at the time:

Address:

VIII. Real Estate Licensure

A. Have you ever been licensed as a real estate broker or salesman in any state? Yes _____
No _____

B. If ever licensed as real estate broker or salesman, list:

1. State(s) licensed: _____

Date(s) of licensure: _____

Current status: _____

2. Has your license as a real estate broker or salesman ever been revoked or suspended in any State? Yes _____ No _____ If yes, give full particulars on separate sheet.

3. Have you ever been the subject of investigation by any real estate licensing authority? Yes _____ No _____ If yes, give full particulars on separate sheet.

IX. Bankruptcy, Receivership, Foreclosure

A. Have you been an officer, director or other principal in any business organization which has voluntarily or involuntarily declared bankruptcy or been subject to foreclosure or receivership during the past seven years? Yes _____ No _____. If yes, give full particulars on Exhibit A.

B. Have you, as an individual, filed a petition in voluntary bankruptcy or been subject to foreclosure or receivership during the past seven years? Yes _____
No _____ If yes, give full particulars on Exhibit B.

X. Criminal Convictions, Injunctions and Orders

A. During the past ten years, have you, as an individual or as principal in a business organization:

1. Been convicted of a violation, misdemeanor or felony, which has not been annulled by a court, involving condominium or land dispositions or any aspect of land sales in any state or foreign country? Yes _____ No _____.

2. Been convicted of any other felony, which has not been annulled by a court, in any state or foreign country? Yes _____ No _____ If yes to either, give full particulars on Exhibit C.

B. During the past five years, have you, as an individual or principal in a business organization, been subject to an injunction or administrative order which restrained a false or misleading promotional plan involving land disposition, unfair or deceptive trade practices under 15 U.S.C. 45(a)(1) or equivalent state statutes, securities laws or the commodities futures industry? Yes _____ No _____ If yes, give full particulars on Exhibit D.

C. Are you currently a defendant in any pending litigation involving condominium or land dispositions or any felony in any state or foreign country? Yes _____ No _____ If yes, give full particulars on separate sheet.

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Exhibit A

BUSINESS ORGANIZATION BANKRUPTCY, FORECLOSURE, AND RECEIVERSHIP

Business Organization Bankruptcy During Past Seven Years

Name and address of Business Organization:

Date Bankruptcy filed:

Court in which filed:

Docket #:

Chapter of Bankruptcy Code filed under:

Name of Trustee, if any:

Your position and role in business organization:

Are all debts discharged?

Current status of case:

Foreclosure of Business Organization During Past Seven Years

Name and address of Business Organization:

Date of Foreclosure:

Name and address of authority foreclosing upon business:

Your position and role in business organization:

Current status of case:

Receivership of Business Organization During Past Seven Years

Name and address of Business Organization:

Date Receivership ordered:

Court, Commission, etc. ordering Receivership:

Name of Receiver:

Your position and role in business organization:

Current status of case:

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Exhibit B

PERSONAL BANKRUPTCY, FORECLOSURE, AND RECEIVERSHIP

Personal Bankruptcy During Past Seven Years

Date Bankruptcy filed:

Court in which filed:

Docket #:

Section of Bankruptcy Code filed under:

Name of trustee, if any:

Are all debts discharged:

Current status of case:

Personal Foreclosure Action During Past Seven Years

Date of foreclosure:

Name and address of authority foreclosing upon you:

Current status of case:

Receivership During Past Seven Years

Date receivership ordered:

Court, Commission, etc. ordering Receivership:

Name of Receiver:

Current status of case:

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Exhibit C

Record of Criminal Conviction During Past Ten Years
(for individuals and business organizations)

Name and address of Defendant as appears in court papers:

If Defendant is the business organization, your position and role in the business organization:

Crime charged:

Date committed:

Place committed:

Date of arrest:

Charging authority and address:

Name and address of Court of jurisdiction:

Resolved through: Plea _____ Trial _____

Sentence:

Date of Sentence:

Parole or probation terms if still in effect:

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Exhibit D

Record of Injunction or Administrative Order During Past Five Years
(for individuals and business organizations)

Name and address of Defendant as they appear in official documents:

If Defendant is a business organization, your position and role in business organization:

Court ordered injunctions:

Date of injunction:

Name and location of Court:

Docket #:

Nature of activity enjoined:

Status of case:

Administrative Orders:

Date of Orders:

Name and location of authority issuing:

Nature of activity restrained:

Status of case:

I, _____, do affirm that the foregoing
(type or print name)

answers and statements, knowingly made by me, are true, and that I have not omitted to state any material facts touching upon such matters. I understand that inquiries may be sent to character and credit references, and to banking facilities, and that any misrepresentation or omission in the responses to the foregoing questionnaire may result in denial or revocation of a registration of the subdivision/condominium in connection with which it is filed. I further authorize the Attorney General of New Hampshire and his assistants and agents to investigate the statements herein made, and I request that any person or agency which has information concerning the foregoing matters cooperate fully with the Attorney General and his assistants and agents by freely providing all information in his possession, regardless of the fact that such information might otherwise be subject to a privilege or confidentiality against disclosure.

Signature

State of)
) SS
County of)

Subscribed and sworn to before me this _____ day of _____, 20_____

(Seal)

Notary Public/Justice of the Peace

My Commission Expires: